

PROGRAM AT-A-GLANCE

(Subject to Change)

Tuesday, October 3, 2017

9:30 a.m. – 4:30 p.m.

Registration Open

9:45 a.m. – 10:45 a.m.

Current Challenges in BSA/AML Compliance

Alex Seddio, Anti-Money Laundering Specialists LLC

10:45 a.m. – 11:00 a.m.

Morning Break

11:00 a.m. – 12:00 p.m.

Three Lines of Defense: Integrating Compliance Across the Organization

Cynthia Boehmer, Wolf & Company, P.C.

12:00 p.m. – 1:00 p.m.

Working Lunch Presentation

*Presented by Verafin**

1:00 p.m. – 2:30 p.m.

BSA/AML Workshop Part I

2:30 p.m. – 3:00 p.m.

Afternoon Break

3:00 p.m. – 4:30 p.m.

BSA/AML Workshop Part II

4:30 p.m.

Workshop Adjourns

4:30 p.m. – 5:00 p.m.

Refreshment Break

5:00 p.m. – 6:00 p.m.

Opening Keynote: Stress Proof Your Brain

Jenny Evans, PowerHouse Performance

6:00 p.m. – 7:00 p.m.

Welcome Reception

*Sponsored by Verafin**

*Endorsed Partner



2017 BSA AML

BANK SECRECY ACT / ANTI MONEY LAUNDERING WORKSHOP

Held in conjunction with the 39th Annual Regulatory Compliance Conference, registered attendees of the BSA workshop are welcome to attend the opening keynote general session and reception held Tuesday evening.

GENERAL INFORMATION

The 2017 BSA/AML Workshop will be held at the Paradise Point Hotel in conjunction with the CBA's 39th Annual Regulatory Compliance Conference. The CBA registration desk will be open at 9:30 a.m. on Tuesday, October 3 and will close once the program adjourns at 4:30 p.m.

Please refer to the Regulatory Compliance Conference portion of this brochure for information including accommodations, travel information, continuing education credits and more. Or you can visit us online at www.calbankers.com/BSA17.

Registration Fees

Early-Bird by September 1, 2017

CBA Member Bank: \$395 Spouse: \$175

Regular After September 1, 2017

CBA Member Bank: \$595 Non-Member Bank: \$795
CBA Affiliate Member: \$995 Spouse: \$175

Cancellation Policy

Substitutions are allowed, at no additional cost. Written notice is required for all substitutions/cancellations. The full registration fee will be refunded if written notice is received by September 5, 2017, and 50 percent if by September 19. No refunds will be provided after September 19. Registrations made on or after September 19 are not subject to a refund.

SESSIONS AND SPEAKERS

Current Challenges in BSA/AML Compliance

In this session, Alex Seddio, principal and founding member of AMLS, will discuss the current issues that banks need to focus on in order to stay out of trouble in these difficult times.

Alexander Seddio, Principal & Founding Member | Anti-Money Laundering Specialists LLC (AMLS)

Alexander Seddio specializes in providing expert anti-money laundering and counter-terrorist financing regulatory compliance consulting services to the financial services industry. Prior to founding AMLS, he served as director of the Forensic and Litigation Services practice of KPMG, LLP in San Francisco. Seddio began his career as a special agent with the Criminal Investigation Division of the Internal Revenue Service where he became widely recognized as one of the government's leading figures in the effort to combat the laundering of criminal proceeds through the U.S. financial system.

Three Lines of Defense: Integrating Compliance Across the Organization

The changing regulatory landscape has introduced increased focus and heightened expectations for financial institutions around risk management and compliance risk management. Building a strong compliance culture and program starts with strategic planning built with the input from frontline units, compliance units and audit. Look at how an integrated compliance risk management program can work for your bank.

Cynthia Boehmer, Compliance Consultant | Wolf & Company, P.C.

Cynthia Boehmer is a supervisor in the WolfPAC solutions group, where she is responsible for the research, creation and maintenance of the compliance laws and regulations found in the regulatory compliance module of WolfPAC and ensuring their accuracy. Additionally, she serves as a resource to the compliance consultants and aid in product implementation and testing. Her expertise is in facilitating and assisting financial institutions with performing regulatory compliance risk assessments for regulations such as Bank Secrecy Act, TILA and RESPA.

BSA/AML Workshop Part I & II

Over the past year, regulators have continued to actively examine compliance, introduce new and heightened requirements and aggressively pursue enforcement. In 2016, regulators focused on strengthening anti-money laundering protections, and a new BSA/AML rule was announced by the Financial Crimes Enforcement Network (FINCEN). The rule creates new requirements for customer due diligence and identification of beneficial owners – priorities that are likely to be at the top of the examination agenda, as compliance with the new rule becomes mandatory in 2018. Banks will need to be aware of not only the new rule, but also train staff and be prepared to implement changes to their programs to ensure compliance. Come, hear and discuss the “Regulatory Hot Buttons and Best Practices for your BSA Compliance Program” with other bankers and industry experts.

Ruth Razook, Founder & CEO | RLR Management Consulting, Inc.

Ruth Razook is founder and CEO of RLR Management Consulting, Inc. In 1988, she founded RLR to serve community banks primarily in the southern California region. RLR is now a nationwide consulting firm focused on providing high quality consulting assistance to financial institutions in the areas of corporate strategy, organizational analysis, operations and project management, information technology and payments.

Neva McCormick, Senior Associate Consultant | RLR Management Consulting, Inc.

Neva McCormick, a senior associate consultant of RLR Management Consulting, Inc., has more than 24 years of experience in the financial industry in key roles including SVP, CFO, controller, IT manager and operations manager. She has comprehensive experience in financial and managerial accounting, operational and BSA/AML audits and programs, budgeting, financial reporting and analysis, contract negotiation and oversight, IT management, project management and strategic planning.